

γ' -Selective Functionalization of Cyclic Enones: Construction of a Chiral Quaternary Carbon Center by [4+2] Cycloaddition/Retro-Mannich Reaction with 3-Substituted Maleimides

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Abstract: The first example of organocatalyzed γ' -selective functionalization of cyclic enones with 3-substituted maleimides results in the stereoselective construction quaternary carbon center is presented. The reactions provided γ' -functionalized cyclic enones and β -functionalized cyclopentenones in good to excellent yields with excellent diastereo- and enantioselectivities. DFT calculations indicated that the reaction might proceed as a [4+2] cycloaddition/retro-Mannich reaction which could explain the unexpected product with a chiral quaternary carbon center and the excellent stereoselectivity.

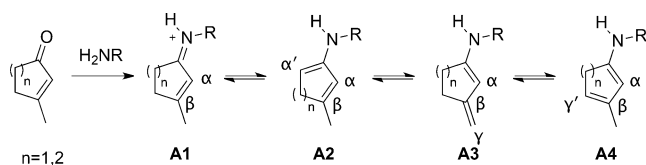
One of the major challenges in modern asymmetric catalysis is the selective activation and functionalization of reactants having multiple activation sites. Cyclic enones are potential synthons in natural products synthesis^[1] and have multiple reactive sites,^[2] and the researches about them have attracted the attention of chemists in the past decades. Pioneered by MacMillan et al. and List et al. organocatalytic β - and α,β -functionalizations of cyclic enones have been described to proceed via the LUMO-lowered iminium ion **A1**^[3] and α',β -functionalization via the HOMO-raised cross-conjugated dienamine **A2** (Scheme 1).^[4] The groups of Melchiorre and Bencivenni further presented γ -regioselective direct vinylogous Michael additions or aldol reactions via the thermodynamic linear *exo*-dienamine **A3**.^[5] After that, Chen reported a method enabling α',γ' -functionalizations of cyclic enones, by using a cascade reaction, via the intermediate **A2** and subsequent extended *endo*-dienamine **A4**.^[6] Recently, we

reported the γ' -functionalization of 3-phenyl-2-cyclopentenone by vinylogous Michael addition to γ -butyrolactam, but it was not suitable for 3-phenyl-2-cyclohexenone.^[7] To the best of our knowledge, direct catalytic asymmetric γ' -selective functionalization of simple cyclic enones is unprecedented. Thus we were fascinated by an organocatalytic pathway involving the formation of the intermediate **A4** to achieve the selective γ' -functionalization of cyclic enones.

[4+2] Cycloaddition/retro-Mannich reactions have shown extensive application in the synthesis of complex natural products,^[8] and offered a high level of stereochemical control and atom economy. In 2006, Jørgensen and co-workers reported the first organocatalytic γ -functionalization of α,β -unsaturated aldehydes by [4+2] cycloaddition/retro-Mannich reaction.^[9] Selective activation of the remote γ -site and obtaining a high stereochemical outcome without racemization were the challenges of the reaction. Interestingly, for cyclic enones under organocatalysis, another challenge was the selective activation of the γ' -site instead of the γ -site. Indeed, when comparing the intermediates **A3** and **A4**, [4+2] cycloaddition between **A3** (*trans*-dienamine as diene) and dienophiles is unfavorable, whereas it is favorable for **A4** (*cis*-dienamine). Herein, we envisioned that the γ' -selective functionalization would proceed by an organocatalytic asymmetric [4+2] cycloaddition/retro-Mannich reaction via **A4**, and an α,β -unsaturated ketone motif would remain in the product for additional functionalization for constructing complex chiral molecules.

In the current study, we report the first example of γ' -selective functionalization of cyclic enones with 3-substituted maleimides for the construction of chiral quaternary carbon centers.^[10] DFT calculations indicate that the reaction might proceed as a [4+2] cycloaddition/retro-Mannich reaction, and could explain the unprecedented construction of chiral quaternary carbon center with excellent diastereo- and enantioselectivity.

The initial screening using a variety of dienophiles^[11] showed that the 3-methyl-maleimide **3a** was a good partner in the reaction with 3-methyl-2-cyclopentenone (**2a**; see Table 1). The γ' -functionalized product **4a**, to our surprise, which results from the formation of chiral quaternary carbon center at C3 of **3a**, rather than at C4 (**4a'**), was obtained in excellent conversion (88 %) with excellent diastereoselectivity (>19:1) and moderate enantioselectivity (entry 1) by using the cinchona-based primary amine catalyst 9-amino (deoxy)quinine (**1a**) and benzoic acid in toluene at 40 °C. Another primary-tertiary diamine catalyst (**1b**) derived from L-leucine slowed down the reaction, but led to a slightly improved *ee* value of 77 % (entry 2). While using a primary-



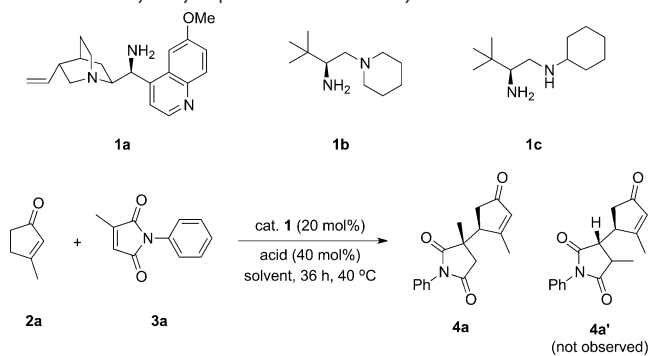
Scheme 1. Potential iminium ion and dienamine intermediates of cyclic enones under organocatalysis.

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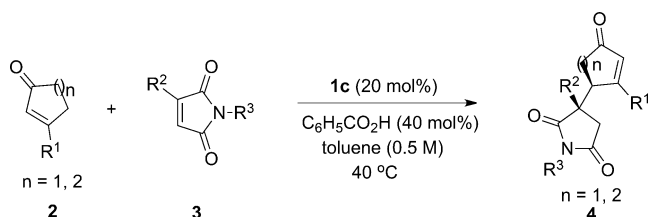
Table 1: Screening studies of [4+2] cycloaddition/retro-Mannich reaction of 3-methyl-2-cyclopentenone to 3-methyl-maleimide.^[a]

Entry	Cat.	Acid	Solvent	Conv. ^[b] [%]	ee ^[c] [%]
1	1a	C ₆ H ₅ CO ₂ H	toluene	88	70
2	1b	C ₆ H ₅ CO ₂ H	toluene	40	77
3	1c	C ₆ H ₅ CO ₂ H	toluene	> 99	> 99
4	1c	C ₆ H ₅ CO ₂ H	1,4-dioxane	30	> 99
5	1c	C ₆ H ₅ CO ₂ H	EtOH	> 99	92
6	1c	C ₆ H ₅ CO ₂ H	DCE	> 99	98
7	1c	C ₆ H ₅ CO ₂ H	EtOAc	> 99	98
8	1c	4-OMeC ₆ H ₄ CO ₂ H	toluene	> 99	97
9	1c	4-NO ₂ C ₆ H ₄ CO ₂ H	toluene	> 99	98

[a] Reactions were performed with **2a** (0.1 mmol), **3a** (0.2 mmol), Cat. **1** (20 mol %), and acids (40 mol %) in 200 μ L of solvent. [b] Determined by GC analysis. [c] Determined by chiral-phase HPLC analysis. All d.r. > 19:1 determined by ¹H NMR analysis of the crude reaction mixture. DCE = 1,2-dichloroethane.

secondary diamine catalyst (**1c**) derived from L-leucine and cyclohexylamine,^[12] both the conversion and enantioselectivity were excellent. Solvent effects were then explored, and it was found that 1,4-dioxane had a detrimental effect on the reaction rate, but the enantioselectivity was maintained (entry 4). It was pleasing that other solvents, such as ethyl alcohol, 1,2-dichloroethane, and ethyl acetate, did not affect the reaction rate and the enantioselectivities ranged from 92 to 98 % (entries 5–7). Other acids such as 4-methoxy and 4-nitrobenzoic acids did not affect the diastereo- and enantioselectivities (entries 8 and 9).

After optimization of the reaction conditions for the [4+2] cycloaddition/retro-Mannich reaction, the substrate scope with respect to the cyclic enones was investigated (Table 2, entries 1–15). Under the optimized reaction conditions, **4a** was isolated with 95 % yield. It was convenient to scale up the reaction, and 2.52 grams of **4a** were obtained (*ee* = 98 %, d.r. > 19:1).^[11] We first systematically investigated the effect of different substituents at the 3-position of 2-cyclopentenone. 3-butyl-2-cyclopentenone gave similar results (**4c**). Ethyl, isopropyl, and phenyl substituents decreased the diastereoselectivities, while yields and enantioselectivities remained high (**4b**, **4d**, **4e**). Moreover, naphthyl (**4f**) and styryl (**4g**) substituents did not affect the stereochemical outcome. It was encouraging that for 3-substituted 2-cyclohexenones, the reactions were also feasible. We investigated the effect of aryl, styryl, and alkynyl groups (**4i–l**), and the yields were found to be moderate to good, though comparatively lower

Table 2: Substrate scope of [4+2] cycloaddition/retro-Mannich reaction.^[a]

Entry	n	R ¹ , R ² , R ³	t [h]	Yield [%]	ee [%]	d.r.
1	1	Me, Me, Ph	36	4a , 95	> 99	> 19:1
2	1	Et, Me, Ph	36	4b , 90	97	9:1
3	1	<i>n</i> Bu, Me, Ph	50	4c , 92	> 99	> 19:1
4	1	<i>i</i> Pr, Me, Ph	36	4d , 93	> 99	10:1
5	1	Ph, Me, Ph	36	4e , 92	94	5:1
6	1	1-naphthyl, Me, Ph	48	4f , 82	90	> 19:1
7	1	styryl, Me, Ph	48	4g , 84	99	> 19:1
8	1	4- <i>t</i> Bu-styryl, Me, Ph	48	4h , 81	93	> 19:1
9	2	Ph, Me, Ph	72	4i , 80	99	> 19:1
10	2	3,5-(OMe) ₂ C ₆ H ₃ , Me, Ph	72	4j , 52	99	> 19:1
11	2	styryl, Me, Ph	72	4k , 78	98	> 19:1
12	2	phenylethynyl, Me, Ph	72	4l , 64	99	> 19:1
13	2	Ph, Me, Me	72	4m , 72	99	> 19:1
14	2	styryl, Me, H	72	4n , 78	98	> 19:1
15	2	styryl, Me, <i>p</i> -BrC ₆ H ₄	72	4o , 65	99	> 19:1
16	1	Me, Et, Ph	36	4p , 93	> 99	> 19:1
17	1	Me, <i>n</i> Bu, Ph	40	4q , 90	96	> 19:1
18	1	Me, <i>i</i> Pr, Ph	48	4r , 90	97	> 19:1
19	1	Me, Me, H	48	4s , 94	96	7:1
20	1	Me, Me, Me	48	4t , 92	97	11:1
21	1	Me, Me, Bn	48	4u , 91	95	> 19:1
22	1	Me, Me, <i>p</i> -BrC ₆ H ₄	36	4v , 92	98	> 19:1
23	1	Me, Me, <i>o</i> -FC ₆ H ₄	48	4w , 90	98	> 19:1
24	1	Me, Me, <i>m</i> -CF ₃ C ₆ H ₄	36	4x , 96	97	13:1
25	2	styryl, Me, <i>m</i> -ClC ₆ H ₄	72	4y , 78	93	10:1
26	2	styryl, Et, Ph	72	4z , 85	98	> 19:1

[a] Reactions performed using 1.0 equiv of **2** (0.2 mmol, 0.5 M), 2.0 equiv of **3**, 0.2 equiv of **1c**, and 0.4 equiv of benzoic acid in toluene at 40 °C. Yields of isolated products are given. The *ee* values were determined by chiral-phase HPLC analysis. The d.r. values were determined by ¹H NMR analysis of the crude reaction mixture.

than that of 3-substituted 2-cyclopentenone, and they gave excellent diastereo- (> 19:1) and enantioselectivities (98–99 %). In addition, different N-substituents, such as methyl, hydrogen, and 4-bromophenyl, on the 3-methyl-maleimides did not affect the yields and stereoselectivities (**4m–o**). In contrast, the substrate scope of 3-substituted maleimides was thoroughly investigated. The reactions gave excellent yields and good to excellent stereoselectivities independent of 3-position substituents of maleimides as ethyl, butyl, isopropyl groups (**4p–r**), and N-substituents as hydrogen, methyl, benzyl, aryl groups (**4s–x**). With 3-styryl-2-cyclohexenone as a model substrate, the diastereo- and enantioselectivities remained excellent, while the yields were as good as 78–85 % (**4y,z**), which were slightly lower than those obtained with 3-methyl-2-cyclopentenone. The absolute configurations of **4o** and **4v** were determined by X-ray crystallographic analysis.^[11]

Interestingly and unexpectedly, for 2-cyclopentenone (**2aa**), β -substituted 2-cyclopentenones (**5**) rather than γ -

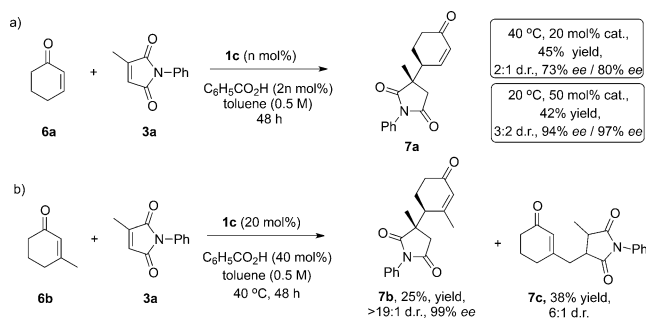
Table 3: Substrate scope of isomerization reaction between 2-cyclopentenone and 3-substituted maleimides.^[a]

Entry	R ² , R ³	t [h]	Yield [%]	ee [%]
1	Me, Ph	48	5a , 93	96
2	Et, Ph	48	5b , 90	95
3	nBu, Ph	48	5c , 91	95
4	Me, Me	48	5d , 87	98
5	Me, <i>p</i> -ClC ₆ H ₄	48	5e , 88	96

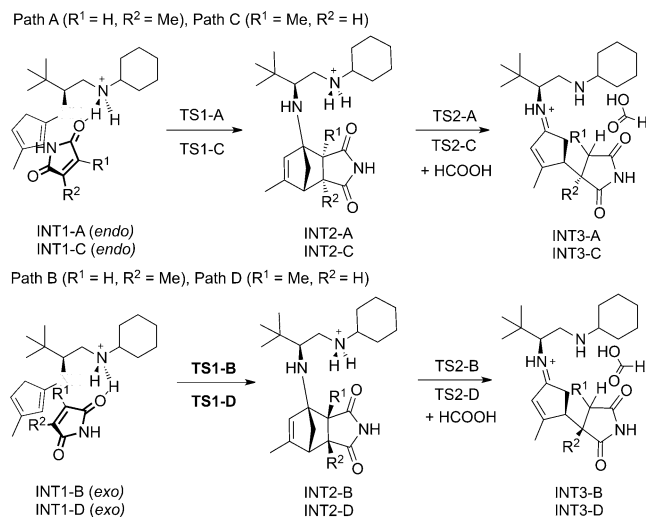
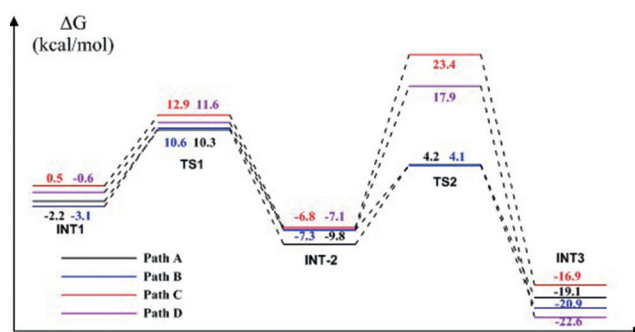
[a] Reactions performed using 1.0 equiv of 2-cyclopentenone **2aa** (0.2 mmol, 0.5 M), 2.0 equiv of **3**, 0.2 equiv of **1c**, and 0.4 equiv of benzoic acid in toluene at 40 °C. Yields of isolated products were given. The ee values were determined by chiral-phase HPLC analysis. The absolute configuration of **5e** was determined by X-ray analysis.

substituted 2-cyclopentenones (**5'**) were obtained with a chiral center attached to C3 of 2-cyclopentenone (Table 3). Regardless of the 3-substituent (methyl, ethyl, and butyl; **5a–c**) on the maleimides and the N-substituents (methyl and aryl groups; **5d,e**), the enantioselectivities remained excellent (95–98 %), and the yields ranged from 87 to 93 %. To the best of our knowledge, there are no methods for synthesizing these kinds of chiral compounds.

For 2-cyclohexenone (**6a**) and 3-alkyl-2-cyclohexenone, the results were quite complicated and intriguing (Scheme 2). For **6a**, the enantioselectivities were moderate at 40 °C, but excellent at 20 °C, albeit with poor diastereoselectivities (Scheme 2a). When using 3-methyl-2-cyclohexenone (**6b**) and **3a** as reaction partners, the result was a mixture of γ and γ' products (Scheme 2b). Even though the yield of the γ' product **7b** was as low as 25 %, was isolated with excellent diastereo- (>19:1) and enantioselectivity (99 %). Melchiorre et al. reported the vinylogous Michael addition to the γ -site of 3-alkyl-2-cyclohexenone, and explained that the γ -carbon atom had more electronic density than any other carbon atoms as determined by DFT calculations.^[5a] Even though we succeeded in getting the γ' -product in low yield and with excellent stereoselectivity, further efforts are needed for the development of a general γ' -selective functionalization of 3-alkyl-2-cyclohexenone in high yield.

**Scheme 2.** [4+2] Cycloaddition/retro-Mannich reaction of 2-cyclohexenone and 3-alkyl-2-cyclohexenone.

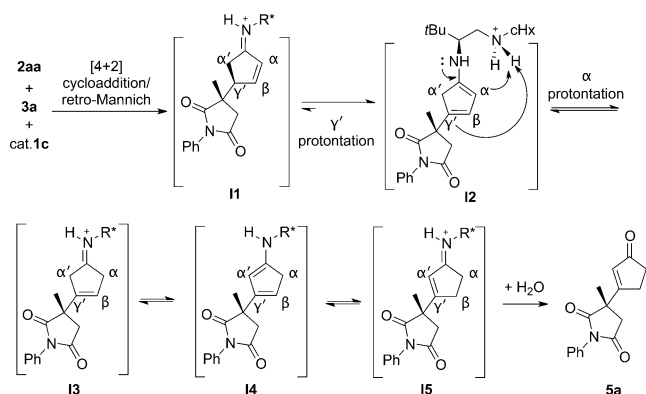
DFT calculations were carried out to elucidate the most plausible reaction mechanism. The calculations indicated that the reaction proceeds by a [4+2] cycloaddition/retro-Mannich reaction,^[13] which is shown in Scheme 3. There are two possible mechanisms for the retro-Mannich reaction: 1) acid-assisted proton transfer (TS2, Path A–D) and 2) direct proton transfer (TS3, Path A'–D'; discussed in the Supporting Information). As shown in Figure 1, the ΔG of TS2 varies

**Scheme 3.** Reaction pathways for reaction of **2a** and **3e** catalyzed by **1c**.**Figure 1.** Gibbs free-energy profile of [4+2] cycloaddition/retro-Mannich Reaction of **2a** to **3e** catalyzed by **1c**.

largely, $\Delta G = 4.2$ and $4.1 \text{ kcal mol}^{-1}$ for paths A and B, respectively, versus $\Delta G = 23.4$ and $17.9 \text{ kcal mol}^{-1}$ for paths C and D, respectively, because of the steric bulk of methyl group in the proton-transfer mechanism, which involves an extra acid molecule to assist the proton transfer process. In the experiment, the reaction rate was faster with 2 equivalents of acid than with 1 equivalent of acid, and there was no reaction without acid. The acid-assisted protonation could proceed with a low-lying transition state, TS2 (ΔG , 4.1–4.2 kcal mol^{-1}). Therefore, the [4+2] cycloaddition becomes the rate-limiting step, and the ratio of the products is determined by the relative height of TS1 on the energy diagram. Here, we calculated a slightly higher-energy transition state, TS1, in

path B than that in path A, and it explains the favored path A. It was worth noting that, between INT2-A and TS2-A in path A, there exists a negligible quasi-transition state of synchronous C–C bond cleavage and enolization with a ΔG value of $2.5 \text{ kcal mol}^{-1}$ and a resting state ΔG value of $2.1 \text{ kcal mol}^{-1}$ (see Figure S3). The unstable states could go back to INT2-A, or go further to a real transition state such as TS2-A, with ΔG $4.2 \text{ kcal mol}^{-1}$, by proton attacking from acid and undergo a protonation to last intermediate INT3-A with ΔG $-19.1 \text{ kcal mol}^{-1}$.

We propose a possible mechanism, as illustrated in Scheme 4, to explain the interesting isomerization. Firstly, the intermediate **II** is obtained with the catalyst **1c** according to the mechanism shown in Scheme 3 (path A). Then **II** forms the α,γ' -dienamine **12**, which is then protonated at the α -site to give the intermediate **13**.^[7a] However, **13** equilibrates with either the α',β -dienamine **14** or α,γ' -dienamine **12**. **12** can join in the catalytic cycle and **14** offers the imine **15** by protonation at β -site. Finally, **15** is hydrolyzed to give **5a**.



Scheme 4. Proposed mechanism for isomerization reaction.

In short, we have established the first example of a γ' -selective functionalization of cyclic enones by an organocatalytic asymmetric [4+2] cycloaddition/retro-Mannich reaction to give 3-substituted maleimides. The methodology provides easy access to chiral 3,4-disubstituted cyclic enones with a quaternary carbon center adjacent to C4 of the cyclic enones, and chiral 3-substituted cyclopentenone with a quaternary carbon center adjacent to C3 in good to excellent yields with excellent diastereo- and enantioselectivities.

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Keywords: asymmetric catalysis · cycloaddition · DFT calculations · isomerization · organocatalysis

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